

# Ultimate Beneficial Owner (UBO) form



**We were unable to trace your organisation's Ultimate Beneficial Owner (UBO) therefore you receive this form. We would be grateful if you could fill in and return the form below to us.**

Ultimate Beneficial Owners, also known as UBOs, are the individuals who ultimately own (or control) an organisation. Your organisation may have one or more UBOs. Please inform us who your UBOs are by completing the UBO form.

## Definitions

The terms below used in this statement are defined as follows:

- **Organisation:** a legal person, partnership and/or trust, or an entity equivalent to these legal forms under foreign or European law.
- **Ultimate Beneficial Owner (UBO):** any natural person who, directly or indirectly, holds more than 25% of the proprietary rights to the organisation, or who has control of the organisation. By 'control', we mean persons who exercise significant influence over the organisation, such as directors and persons who have special voting rights or preference shares in the organisation, or who have the right to appoint or dismiss directors or supervisory directors of the Organisation, or who otherwise exercise significant influence within the organisation. If there is no one to whom the above is applicable, then the organisation's executives are its UBO.

## Privacy

The processing of personal data is subject to the General Data Protection Regulation (GDPR).

We may use the data you enter for the following purposes:

- Customer surveys that TVM carries out to comply with Customer Due Diligence (CDD) obligations ensuing from the Financial Supervision Act (Wft) and sanctions legislation and regulations.
- To prevent and combat fraud against financial institutions.
- For statistical analyses.

Data may be processed by third parties, to enable us to conduct (client) investigations. We remain the controller of the processing of personal data obtained by means of this UBO form.

## Rule on penalties

TVM does not provide insurance cover or reimburse damages if prohibited by sanctions legislation and regulations.

# Ultimate Beneficial Owners (UBO) declaration

## Your organisation's details

name of legal person/organisation

chamber of commerce reg. No.

legal form

place of business:

## Ultimate Beneficial Owner(s)

Enter the names of natural persons to be considered UBO:

**!** Please note: there may be several UBOs

surname and given names*	date and place of birth*	nationality*	% propriety rights**	% control**

\* completion obligatory \*\* complete one of these fields

## Signature

The undersigned declare(s) to have completed this form fully and truthfully.

Is one director authorised to represent your company? If so, you need add only one signature. Are you jointly authorised? In that case two directors need to sign this form.

In adding your signature, you undertake to inform TVM as soon as possible if there have been any changes relating to the UBOs of your organisation. For example, if your organisation gains a new UBO, if the details of the current UBOs of your organisation change or if current UBOs of your organisation no longer qualify as such.

### Signatory 1

name

position

date

town/city

signature

### Signatory 2

name

position

date

town/city

signature

Fully completed and signed forms may be forwarded to us by post or email.

## Disclaimer

Signing this form does not imply that we are entering into an agreement or insurance policy with you. An agreement or insurance is only concluded following TVM's formal acceptance.

